

Version 4.3

Feb. 2023

# *HREM-Filters Pro/Lite*

*with*

*Realtime Upsampling Filter*

## *User's Guide*

*DigitalMicrograph Plugin*

*for*

*Image Filter Functions*

*Pro: Commercial Software*

*Lite: Free Software*

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# 1. Introduction

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HREM-Filters Pro/Lite is a plug-in for use in Gatan's DigitalMicrograph for Windows v.3.8 or later. However, we will recommend you to use the latest version.

This HREM-Filters Pro/Lite User's Guide is written to provide information on the basic functions of the HREM-Filters Pro/Lite software, a procedure for installation of the Plug-In, some general tips on operation. This Guide assumes the user is familiar with image manipulation using DigitalMicrograph as well as Windows operating system.

**Note:** HREM-Filters Lite is free software, so anyone can use this software without a license. However, HREM Research Inc. does not renounce a copyright of this software.

## Technical Support

General enquiries on the HREM-Filters should be sent to:

HREM Research Inc.

Email: [support@hremresearch.com](mailto:support@hremresearch.com)

Web: [www.hremresearch.com](http://www.hremresearch.com)

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## 2. Installation

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This chapter describes hardware and software requirements to run the HREM-Filters Pro/Lite plug-in and an installation procedure of the plug-in.

### 2.1 Requirements

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The HREM-Filters Pro/Lite plug-in runs under DigitalMicrograph environment, and the software and hardware requirements are similar to those for DigitalMicrograph itself.

#### 2.1.1 Hardware requirement

The HREM-Filters Pro is commercial software and thus requires a license key (a USB dongle), while the HREM-Filters Lite is free software and thus requires no license key.

#### 2.1.2 Software requirement

The following is a list of the software requirements necessary to run the HREM-Filters Pro/Lite plug-in:

- **DigitalMicrograph for Windows.**
- **USB Key Driver (only required for HREM-Filters Pro)**

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## 2.2 Software Installation

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The following modules should be installed. Please consult the ReadMe file for installation. The following modules should be placed in the folder “PlugIns” on the same level of the DigitalMicrograph.

- **HREM-Filters Pro or HREM-Filters Lite Plug-in (.gtk and .dll)**
- **HREM Mouse Tool Plug-in (Free-ware available at [www.hremresearch.com](http://www.hremresearch.com))**
- **IPU Plug-in (only required for HREM-Filters Pro; Free-ware available at [www.hremresearch.com](http://www.hremresearch.com))**
- **USB Key Driver (only required for HREM-Filters Pro)**

**Note:** The PlugIns folder should exist under a normal installation of the DigitalMicrograph.

### **Installing HREM-Filters Pro or HREM-Filters Lite Plug-in**

HREM-Filters Pro or HREM-Filters Lite (.gtk and .dll) can be installed by drag-and-drop copy to the folder “PlugIns” on the same level of the DigitalMicrograph.

### **Installing HREM Mouse Tool Plug-in**

This is a free plug-in. Please download the plug-in from the Scripts/Plugins page and install it according to the ReadMe file.

### **Installing IPU Plug-in**

This is a free plug-in. Please download the plug-in from the Scripts/Plugins page and install it according to the ReadMe file. This plug-in is required by HREM-Filters Pro in order to extend Fourier transform capability. However, anyone can use the IPU Plug-in to calculate Fourier transform of an arbitrary sized image.

When the DigitalMicrograph is launched after placing the plug-ins the PlugIns folder, HREM-Filters Pro/Lite menu (Filters) commands will be appeared under “Filters” menu and the Mouse tool will be appeared as an addition to the standard tools.

### **Installing Key Driver**

The user key driver should be installed by following the instructions given by the key driver installer (**only required for HREM-Filters Pro**). The key driver installer comes with HREM Filters Pro, or you can find it on our web site.



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## 3. Getting Started...

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Using the HREM-Filters Pro/Lite is very simple. All the operations are menu driven, and process the front *active* image. This chapter briefly explains each command.

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### 3.0 Essentials

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#### 3.0.1 Noise model

The noise model is very important to extract a signal from a noisy image. Thus, HREM-Filters Pro supports two noise models: Amorphous noise and Random noise. Here, the amorphous noise means the noise from non-periodic substrate (amorphous material), while the random noise corresponds to white noise or statistical noise (Poisson noise).

The amorphous model will use a smoothed background in Fourier space, and be applicable for the most of the cases. However, the random model will be useful for an ADF STEM image or an elemental map, where the statistical noise is significant.

#### *How amorphous noise model works*

The background of the filter for the amorphous noise model is estimated as a lower-bound of the image spectrum. During the filter operation only the spectrum that is higher than the background is contribute the filtered image. Thus, the amorphous noise model will effectively extract a periodic structure.

However, non-periodic structures, such as a grain boundary or defect(s), will contribute to the image spectrum in the similar way that amorphous material contribute to the image background. Thus, such non-periodic structure will be washed out using the amorphous noise model.

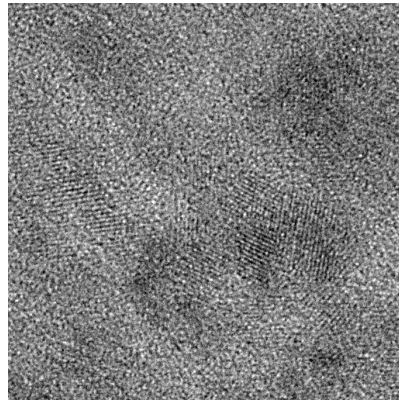
#### *How random noise model works*

The filter based on the random noise model adopts an *iterative* procedure, where the low-frequency information is protected by Gaussian low-pass filter, and the rest of information is passed to the Wiener/Difference filter. Then, the noise in higher frequency component will be progressively removed, while keeping the low frequency components as much as possible. Therefore, the filter based on the random noise will keep non-periodic structures more than the filter based on the amorphous model. Furthermore, you can choose the best one from the output stack, since this filter is iterative.

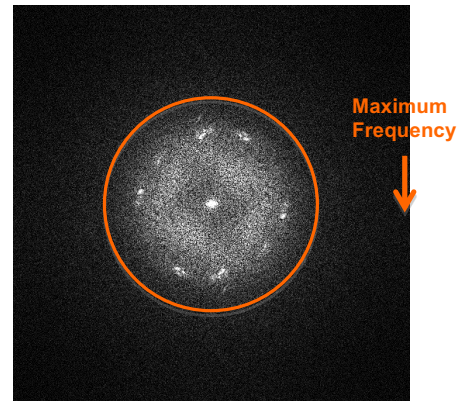
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### 3.0.2 Resolution and Information Limit

The concept of Information Limit is important to filter-out the noise in keeping signal information in the image. Here, we assume that all the signal information exists only within the Information Limit as shown in the example below:

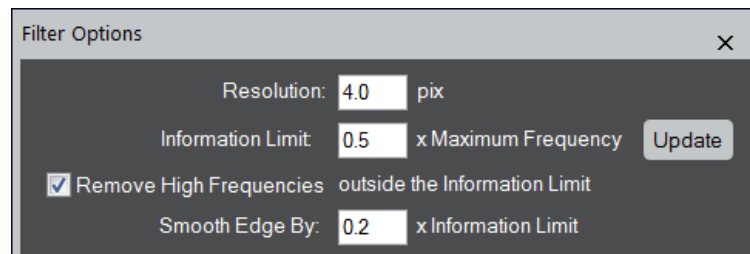


TiO<sub>2</sub> nano-particles



Fourier transform

Resolution and Information Limit are closely related. If you change one value, the other value will be changed. When the image is calibrated, you will see a physical unit for Resolution. If not, the unit of Resolution is pix (pixels). When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value for Resolution. Alternatively, you can input the value for Information Limit in terms of the Maximum Frequency of the Fourier transform.

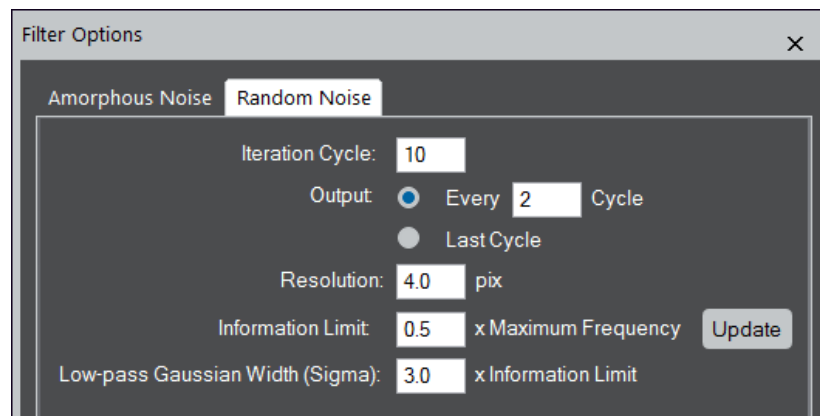


The default value of the Information Limit is 0.5. If the circle is too small or too large, you can adjust the radius of the circle by changing the value of the Information Limit. When you change the value for Resolution or Information Limit, it is advisable to push Update button to confirm its size in the Fourier transform.

If you want, you can remove high frequencies outside the Information Limit, which corresponds to high-frequency noise.

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The Information Limit is essential for the Random Noise model, since the Low-pass Gaussian width is defined in terms of the Information Limit.



Since the random noise model works iteratively, there are some parameters to be specified.

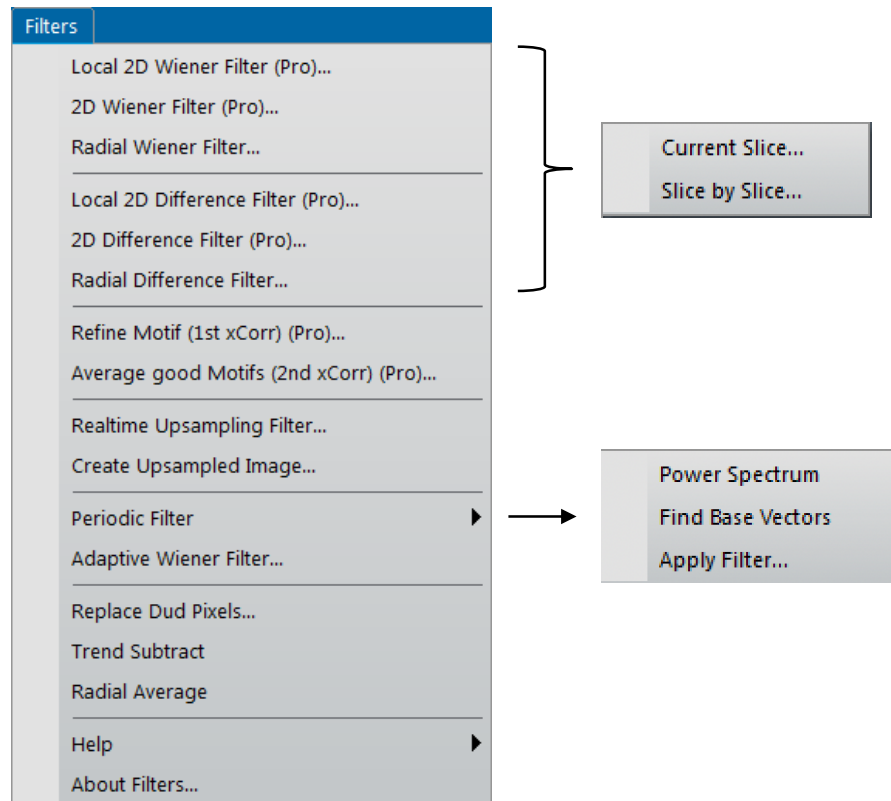
Iteration Cycle:	This determines the maximum number of iterations
Output:	This specifies the cycle of result outputs or the output only at the last cycle.
Low-pass Gaussian Width (Sigma):	The half-width of the smoothing Gaussian in Fourier space is specified here.

**TIPS:** Usually, the low-pass Gaussian width of one (1.0) will be acceptable, and the maximum iteration of ten (10) with the outputs at every two (2) cycle will be sufficient.

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## 3.1 HREM-Filters

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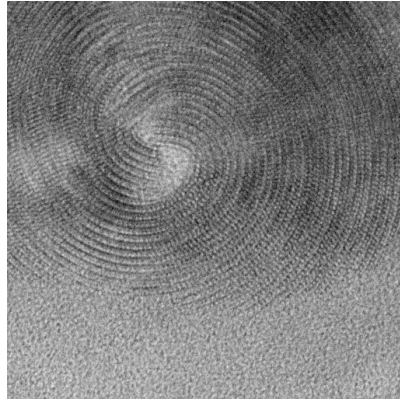
HREM-Filters Pro/Lite Menu.

The commands with (Pro), such as Local 2D Wiener Filter (Pro), indicate that they are available only for HREM-Filters *Pro*. Other commands can be used by both *Pro* and *Lite* versions, although some capabilities indicated by (Pro Only) in the dialog are applicable only for HREM-Filters *Pro*.

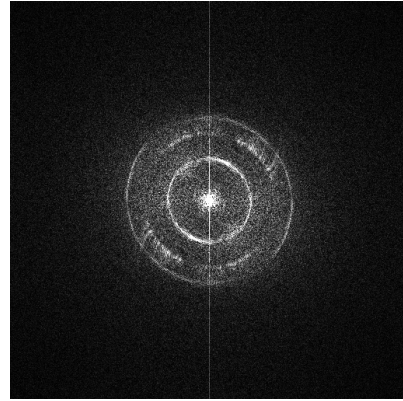
When the front data is a 3D stack, the submenu will appear, where you can select to process Current Slice or Slice by Slice (all the slices).

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We will use the following image of crysotile, a clay mineral, taken by Prof. Kogure, Univ. of Tokyo. This is not an ideal crystal showing a simple translational symmetry, and thus clearly shows a power of Filters Pro.



Original Image (crysotile)

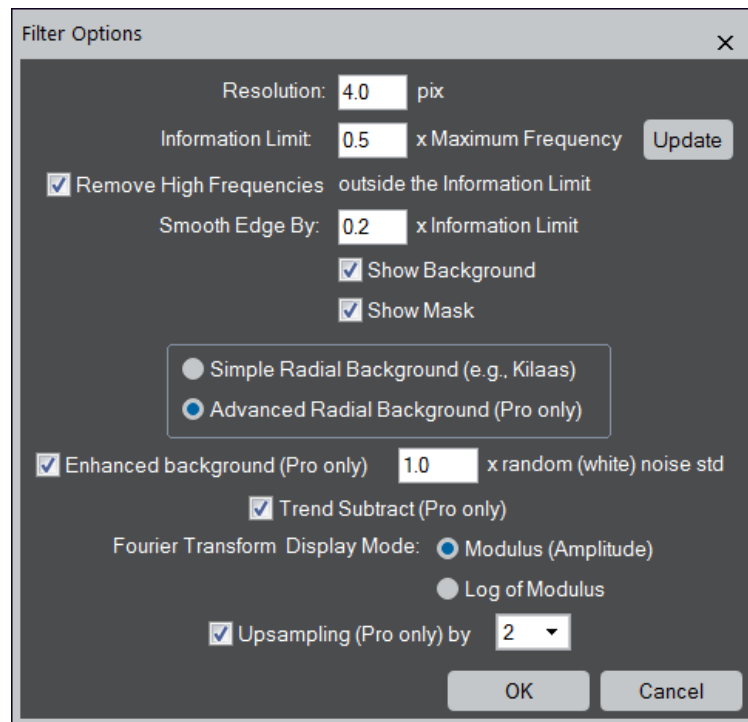


Fourier transform

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### 3.1.1 Radial Wiener/Difference Filter

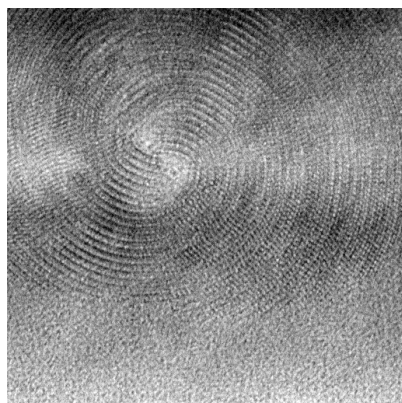
A background in Filter is estimated by radial average of Fourier transform of the whole area.



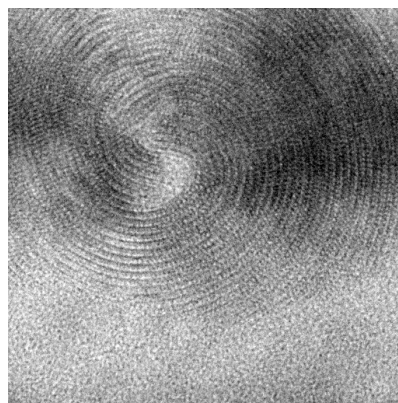
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value here. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click this button to check the size of the circle on the power spectrum.
Remove High Frequencies outside the Information Limit	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ .
Smooth Edge By:	This defines the value for <u>Smooth Edge</u> .
Show Background:	If checked, the background of the Wiener/Difference filter will be displayed.
Show Mask:	If checked, the Wiener/Difference filter mask will be displayed.

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Radial Background type:	<p>The choice of the type of Radial Background. <i>Lite</i> can use <i>Simple Radial Background</i> obtained by rotational average of the intensity (e.g., Kilaas).</p> <p><i>Advanced Radial Background</i> that is available for <i>Pro</i> only is a smooth version of the Simple Radial Background. Usually, this background is <i>far superior</i> to the Simple Radial Background.</p>
Enhanced background (Pro only)	If checked, the background is increased by the factor multiplied by the std of the random noise.
Trend Subtract (Pro Only):	If checked, the trend of the original image is subtracted before Wiener filtering, and put back afterward.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.



Radial Wiener filtered image



Difference from the original image

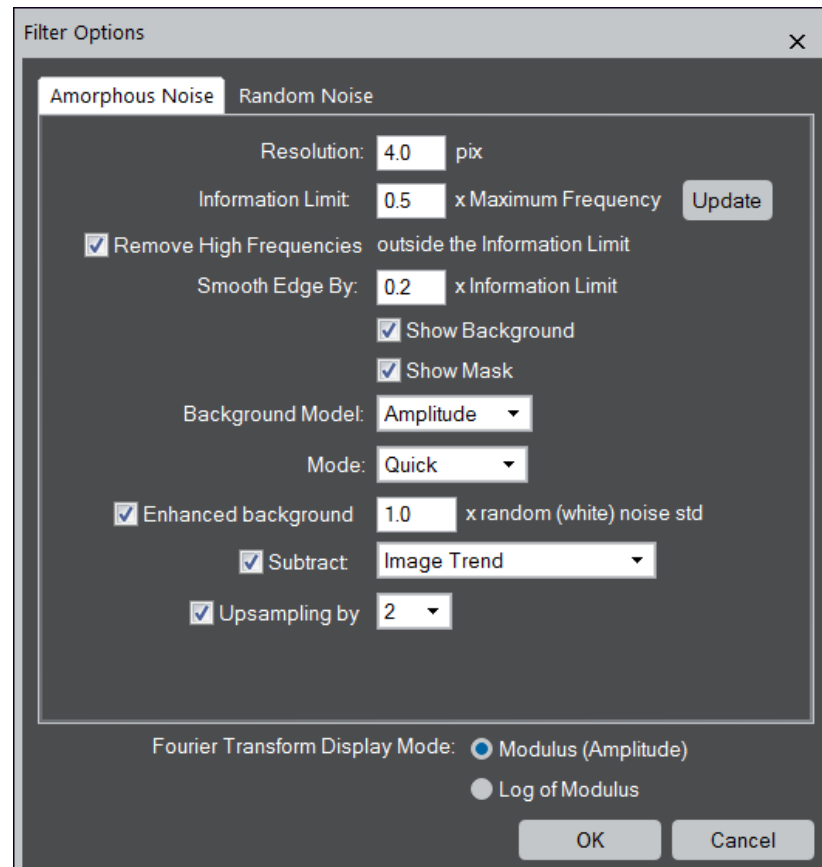
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### 3.1.2 2D Wiener/Difference Filter (Pro Only)

There are two noise models: Amorphous noise and Random noise.

#### 3.1.2.1 Amorphous noise

A smoothed two-dimensional trend of Fourier transform of the whole area is used as a background in Filter for the amorphous noise.



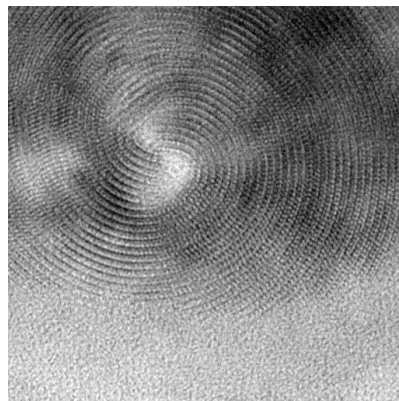
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value here. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click this button to check the size of the circle on the power spectrum.



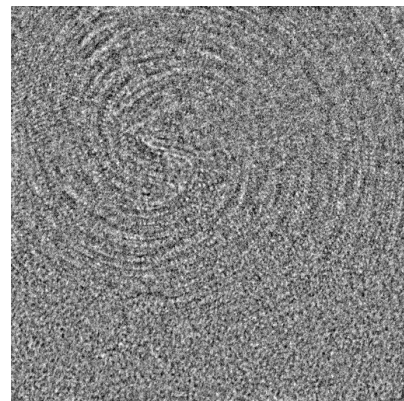
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Remove High Frequencies outside the Information Limit

	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$
Smooth Edge By:	This defines the value for <u>Smooth Edge</u> .
Show Background:	If checked, the background of the Wiener/Difference filter will be displayed.
Show Mask:	If checked, the Wiener/Difference filter mask will be displayed.
Background Model:	The background of the filter will be estimated from the amplitude or the intensity.
Mode:	The choice of the 2D background estimation scheme from <i>Quick</i> and <i>Elaborate</i> .
Enhanced background (Pro only)	If checked, the background is increased by the factor multiplied by the std of the random noise.
Subtract: Image trend/Gaussian blurred image	If checked, the image trend or Gaussian blur of the original image is subtracted before Wiener/ Difference filtering, and put it back afterward.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.



2D Wiener filtered image



Difference from the original image

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### 3.1.2.2 Random noise

The screenshot shows the 'Filter Options' dialog box with the 'Random Noise' tab selected. The 'Amorphous Noise' tab is also visible. The settings are as follows:

- Iteration Cycle: 10
- Output: ☒ Every 2 Cycle, ☐ Last Cycle
- Resolution: 4.0 pix
- Information Limit: 0.5 x Maximum Frequency (with an 'Update' button)
- Low-pass Gaussian Width (Sigma): 3.0 x Information Limit
- ☒ Remove High Frequencies outside the Information Limit
- Smooth Edge By: 0.2 x Information Limit
- ☒ Show Background
- ☒ Show Mask
- Background Model: Amplitude (dropdown menu)
- ☒ Upsampling by 2 (dropdown menu)
- Fourier Transform Display Mode: ☒ Modulus (Amplitude), ☐ Log of Modulus
- Buttons: OK, Cancel

Iteration Cycles:	This determines the maximum number of iterations
Output:	This specified the cycle of result outputs or the output only at the last cycle.
Resolution:	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value here. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click this button to check the size of the circle on the power spectrum.
Low-pass Gaussian Width (Sigma):	The half-width of the smoothing Gaussian in Fourier space is specified here. A large Gaussian width requires a longer iteration.

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Remove High Frequencies outside the Information Limit

If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between  $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$  and  $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$

Smooth Edge By: This defines the value for Smooth Edge.

Show Background: If checked, the background of the Wiener/Difference filter will be displayed.

Show Mask: If checked, the Wiener/Difference filter mask will be displayed.

Background Model: The background of the filter will be estimated from the amplitude or the intensity.

Upsampling If checked, the filtered image will be up-sampled by the selected factor.

Fourier Transform Display Mode You can select the display mode between Modulus (Amplitude) and Log of Modulus.

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### 3.1.3 Local 2D Wiener/Difference Filter (Pro Only)

A background in Filter is locally estimated by smoothed two-dimensional trends of Fourier transform of finite areas. The size of the area is controlled by the **Width** parameter below.

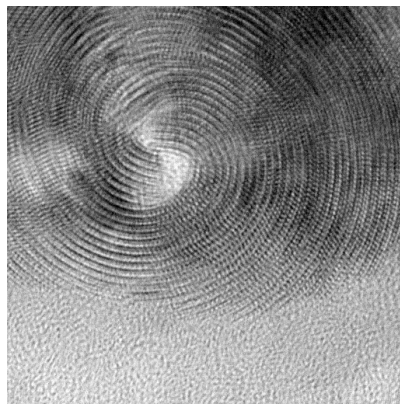
The screenshot shows the 'Filter Options' dialog box with the 'Amorphous Noise' tab selected. The dialog has a dark gray background and a light gray border. At the top, there is a title bar with the text 'Filter Options' and a close button (X). Below the title bar, the 'Amorphous Noise' tab is active. The main area contains several settings: 'Width' is set to 64; 'Resolution' is set to 4.0 with a unit of 'pix'; 'Information Limit' is set to 0.5 with a unit of 'x Maximum Frequency' and an 'Update' button; a checked checkbox 'Remove High Frequencies' with the text 'outside the Information Limit'; 'Smooth Edge By' is set to 0.2 with a unit of 'x Information Limit'; 'Background Model' is set to 'Amplitude' with a dropdown arrow; 'Mode' is set to 'Elaborate' with a dropdown arrow; a checked checkbox 'Enhanced background' with a unit of '1.0 x random (white) noise std'; a checked checkbox 'Subtract' with a dropdown set to 'Image Trend'; and a checked checkbox 'Upsampling by' with a dropdown set to 4. At the bottom, there is a section for 'Fourier Transform Display Mode' with two radio buttons: 'Modulus (Amplitude)' (selected) and 'Log of Modulus'. At the very bottom are 'OK' and 'Cancel' buttons.

Width:	Size of the local square area.
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value here. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking the Update button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click this button to check the size of the circle on the power spectrum.

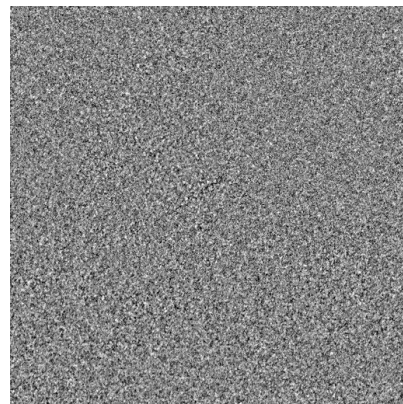
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Remove High Frequencies outside the Information Limit

	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ .
Smooth Edge By:	This defines the value for <u>Smooth Edge</u> .
Background Model:	The background of the filter will be estimated from the amplitude or the intensity.
Mode:	The choice of the 2D background estimation scheme from <i>Quick</i> and <i>Elaborate</i> .
Enhanced background (Pro only)	If checked, the background is increased by the factor multiplied by the std of the random noise.
Subtract:	If checked, the Image trend or Gaussian blur of the original image is subtracted before Wiener/ Difference filtering, and put back afterward.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.



Local 2D Wiener filtered image



Difference from the original image

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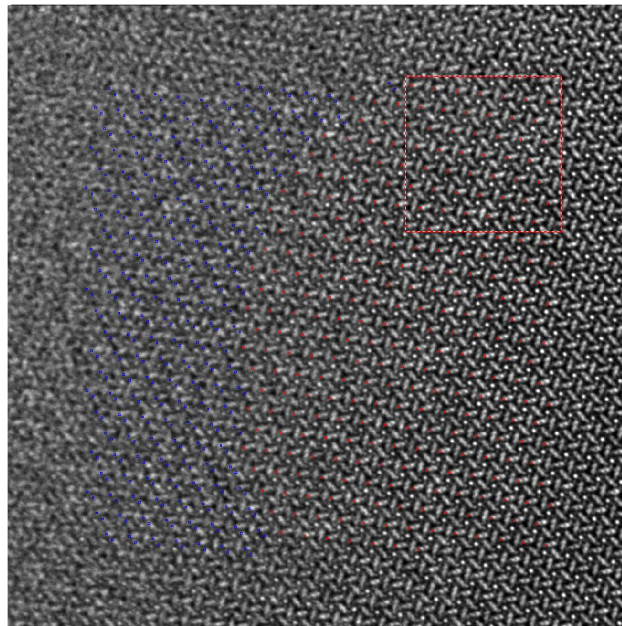
## 3.2 Motif Average

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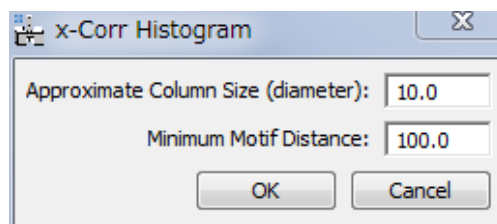
Motif averaging is a real space technique to obtain a noise-filtered image from an image of periodic structure. Here, we call a small region “motif,” for which we search similar regions of the same size over the whole image. Then, we will take an average over the regions with high similarities. Usually, the similarity is measured by cross-correlation of the image and the motif.

### 3.2.1 Refine Motif (First cross-correlation)

At first assign the original motif using a Rectangle ROI as shown below:

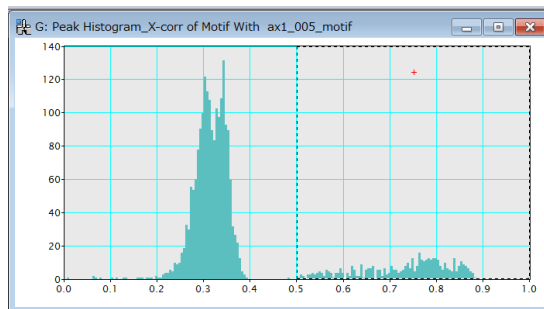


Next, launch the “Refine Motif” command. Then, the dialog below to set search conditions will appear:



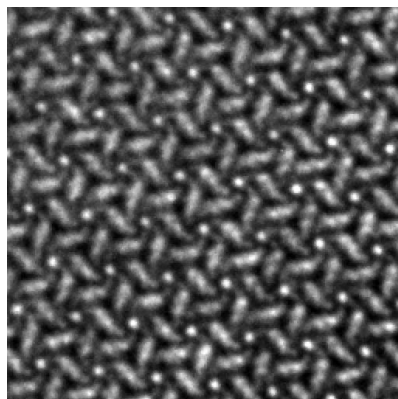
Here, “Approximate Column Size” is a diameter of each atomic column in pixels, and “Minimum Motif Distance” is an approximate distance between the motifs in pixels, not a size of the motif.

When the OK button is pressed, the histogram of cross-correlation of the whole image and the motif will appear.

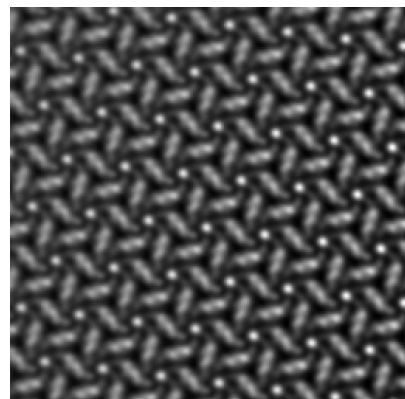


You can change the minimum and maximum values, and the number of bins to be displayed in the HREM Histogram palette. The found peaks are displayed in blue, and the peaks above the threshold in red. The peak threshold can be changed by adjusting the left range of the range ROI.

When you satisfy the threshold setting, press “OK” in the HREM Histogram palette. Then, the original motif and the refined motif averaged over the selected patches will be displayed as shown below:



Original motif



Refined motif

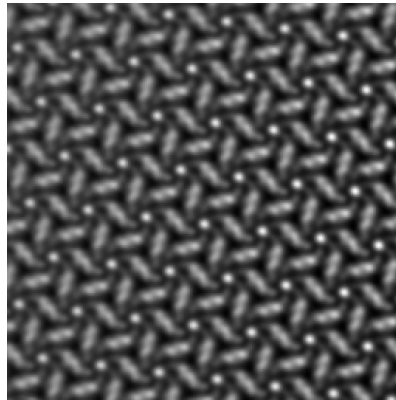
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### 3.2.2 Average good Motifs (Second cross-correlation)

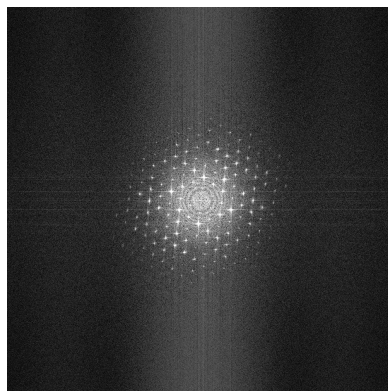
This is the second step of “Motif Averaging,” where the cross-correlation of the whole image with the refined motif is calculated, and an averaged image over the good motifs will be finally obtained.

To obtain the averaged image, launch “Average good Motifs” command when the Refined Motif is a front image. Then, the similar histogram and HREM Histogram pallet will appear. Adjust the threshold by watching the peak color, blue or red, over the whole image. If needed, you can change the minimum and maximum values, and the number of bins in the HREM Histogram palette.

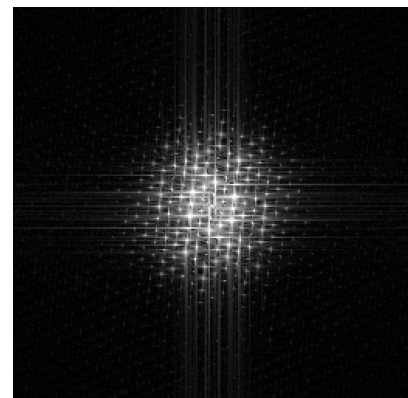
When you satisfy the threshold setting, press “OK” in the HREM Histogram palette. Then, the averaged image over the good motifs will be displayed as shown below:



The figures below show the Fourier transforms obtained from the whole original image and the averaged image over the good motifs, respectively. Here, you can see the averaged motif keeps all the periodic information of the original image, and further shows some extra spots, whose effects on the image are difficult to be detected by eyes.



FT of the whole image



FT of the motif averaged image



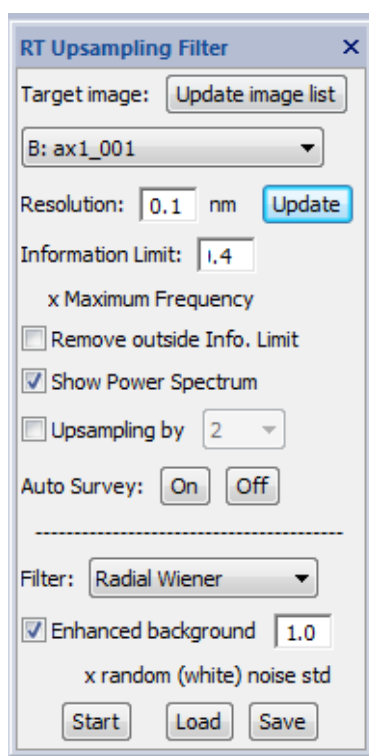
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## 3.3 Realtime Upsampling Filter

The real-time (up-sampling) filter will assist an operator in performing experiments especially at a low dose condition. This filter can be used to adjust microscope condition, such as astigmatism and defocus, by looking the sample itself on the noise filtered image in live.

**NOTE:** To use the real-time noise filter capability you need the license of the Runtime Module. However, this tool can be used even when you don't have the Runtime license, when you install the HREM-Filters Pro/Lite. In this case, however, the filter selection is set to "None." Namely, you can use this tool without a filter capability to display a smoothed image in live by upsampling the selected image in the view mode.

### 3.3.1 RT Upsampling Filter Palette



#### Open the RT Upsampling Filter palette

The Realtime Upsampling Filter palette will be opened by selecting "RT Upsampling Filter" from Window->Floating Windows, or selecting "Realtime Upsampling Filter" from the HREM Filters menu.

#### Select a target image

The target image can be selected from the image pull-down list. If necessary, the image list can be updated by using "Update image list" button. The target image may be a STEM image, or a TEM image in the view mode.

**TIPS:** If there is a ROI on the target image, only the area in the ROI is processed. This capability is very useful for a high frame-rate large TEM

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image. The position and the size of the ROI can be changed at any time, but the filtered image will change only when the target image is updated.

Set the processing parameters

“Resolution” and “Enhanced background factor” are important parameters that affect the filter performance.

*Resolution/Information Limit*

Resolution/Information Limit can be adjusted by looking the Power Spectrum of the image as usually done by using the command: HREM-Filters->Radial Wiener/Difference Filter. If you already done using the filter command, you can use the latest parameters used by the filter command by the “Load” button. Conversely, you can store these parameters for a later use by “Save” button.

When the target image is calibrated, the corresponding scale unit will be displayed, and you can use a fixed “Resolution” even when the magnification is changed. Contrary, if the target image is not calibrated, the unit “pix” is displayed and “Resolution” should be modified manually when the magnification is changed.

*Remove outside Info. Limit*

If checked, the noise outside of the Information Limit (Resolution) will be excluded.

*Show Power Spectrum*

Initial setting of this selection is ON. If you want hide the Power Spectrum window, you can do by unselect this check box.

*Upsampling by*

If checked, you can upsample the original image by a selected factor (2/3/4).

*Auto Survey: ON, OFF*

Using ON and OFF buttons you can change the Auto Survey status of the filtered image.

Following two settings are available only for the user who has the license for the **Real Time Filter module**.

*Filter mode*

You can select “Radial Wiener” or “Radial Difference” filter. If you don’t have the license, “None” will be shown here.

*Enhanced background*

You can reduce the random noise (quantum noise/Poisson noise) by increase the background level by a selected factor.

Start the filter

The Realtime Upsampling Filter will be started by pressing the “Start” button. Then, this button will change to “Stop,” by which you can terminate the processing.

When the Start button is pressed, a filtered image will be displayed in a separate window, and be updated when the target image is revised.

NOTE: If the image update is quicker than a required processing time, some

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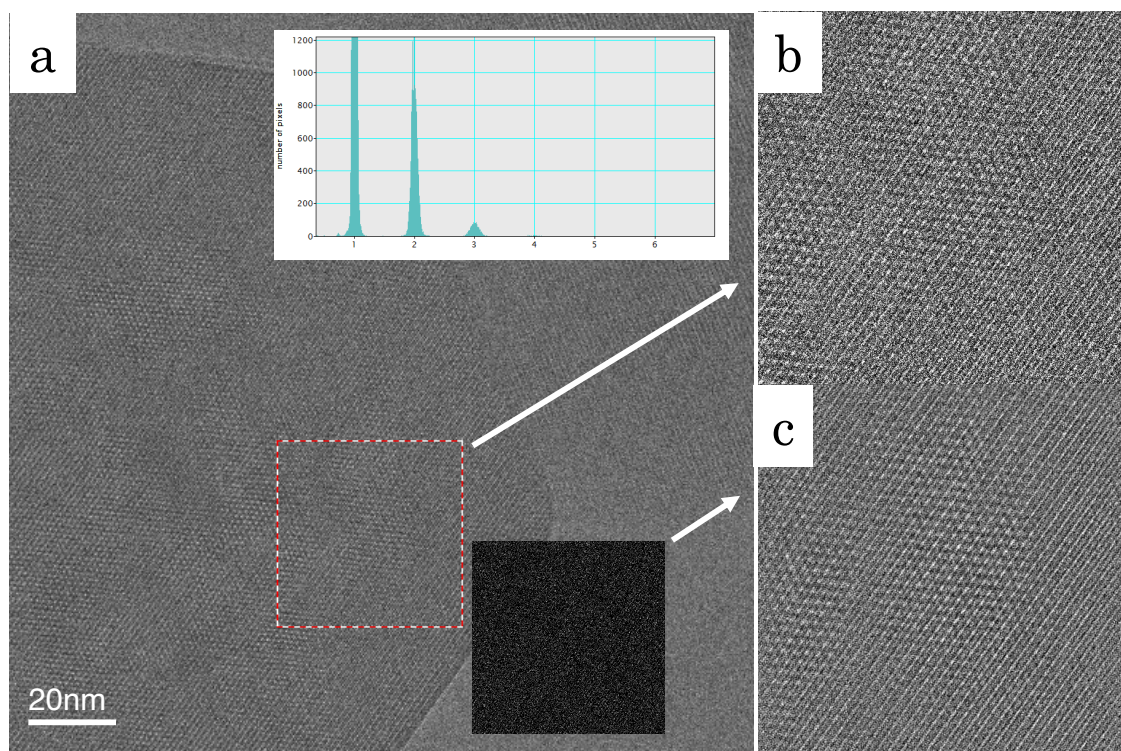
of the frames during the processing will be skipped and not processed. This will usually happen for a high frame-rate TEM image that is larger than 512x512 pixels. By using a small ROI, you can decrease the required processing time and increase a number of frames (fps) that will be processed.

### Off-line use of the Realtime filter tool

You can use this tool for off-line processing, when you select an already acquired image stack. When you push “Start” for an acquired image stack, the upsampled image for a current (selected) slice is displayed, and the upsampled image will be updated when the slice is changed, say by the slice player.

The next figure demonstrates what you can expect from the real-time noise filter on a live experiment (on-line use). You can observe a crystal structure even from a single frame with an average dose rate as low as 0.25 el/pix/frame at several frames per second for the ROI of 512 x 512.

Furthermore, the up-sampling capability allow you to use a lower magnification for image acquisition while observing an up-sampled smooth image in live, and to examine the image acquisition conditions and/or the sample area to be acquired.

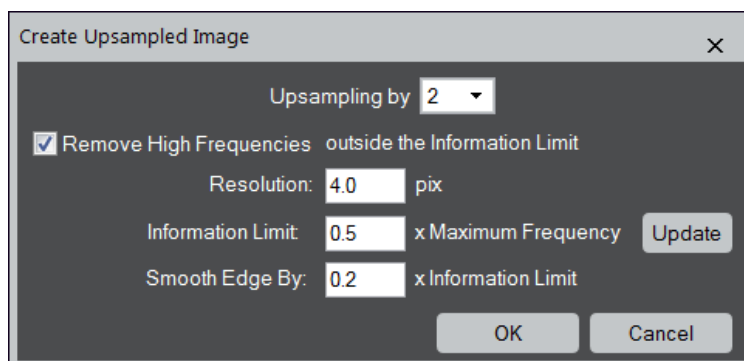


This figure illustrates the off-line use of the noise filtering of low dose TEM images of MOF taken at 300kV (Courtesy of Xiaoxiao Cao, Gatan China.). The left pane (a) shows an area of 2kx2k pixels acquired with a Gatan K2 camera working at Electron Counting mode, where consecutive ten frames are accumulated using the slice tool to reveal the sample feature. The top right pane (b) is an enlarged part of the ROI (512 x 512) in the left pane. The almost dark inset in (a) shows a single frame of the same region, which does not show any structure. The histogram in (a) of this single frame indicates that an average dose rate is as low as of 0.25 el/pix/frame. Surprisingly, the image (c) obtained from this *single* frame reveals the crystal structure using this real-time filter. It may be noteworthy that the noise filtered image (c) of the single frame is better than the accumulated image (b) over 10 frames.

---

### 3.3.2 Create Upsampled Image

This command will create an unsampled image/image stack from the observed image/image stack. When creating the unsampled image, you can delete the noise beyond the resolution/information limit.



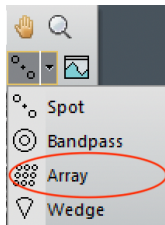
Upsampling by	If checked, the filtered image will be up-sampled by the selected factor.
Remove High Frequencies outside the Information Limit	If checked, high frequencies will be removed according to the setting below:
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value here. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking the Update button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click this button to check the size of the circle on the power spectrum.
Smooth Edge By:	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ .

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## 3.4 Other Filters

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### 3.4.1 Periodic Filter



DigitalMicrograph has Periodic Mask (Array) tool for Fourier filtering. However, it is not easy to define two base vectors precisely for Periodic Filter using the standard Periodic Mask tool, especially when the base vectors are short. Therefore, we provide useful commands assisting to find a precise base vectors for a Periodic Filter using the HREM mouse tool, or the standard Periodic Mask tool.

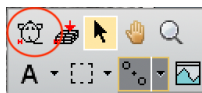


The first step of Periodic Filter is to create a Power Spectrum of the front image, where we put the HREM Mouser points or the Periodic Mask.

The “Find Base Vectors” command will find a set of accurate base vectors using the HREM Mouse tool, or using the DigitalMicrograph’s Periodic Mask (Array) tool.

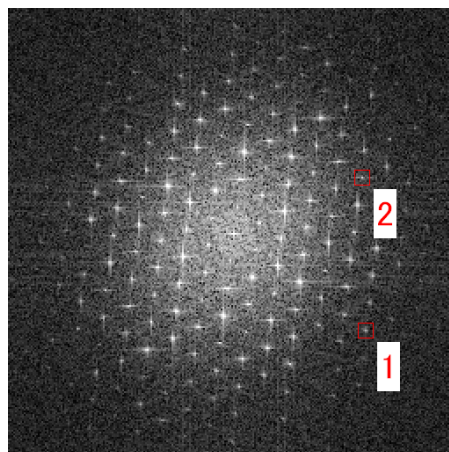
Another command “Apply Filter...” is an extended version of standard “Apply Mask...” under the Process menu, and gives a filtered image.

#### 3.4.1.1 Find Base Vectors (Using Mouse tool)



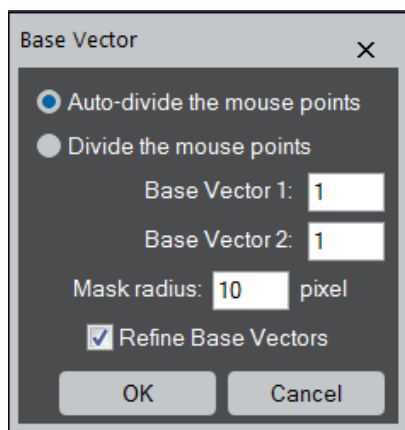
This command will find a precise base vectors for Periodic Filtering using the Mouse tool.

1. Select two spots along two base vectors by using the Mouse tool.



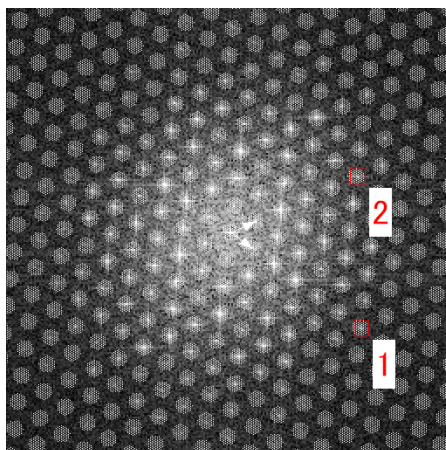
2. Choose “Find Base Vectors” command, then the following dialog will appear:



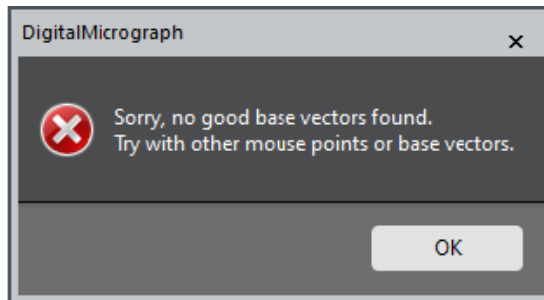


Here, you can choose “Auto-divide the mouse points” to leave the command to find the best Base Vectors, or “Divide the mouse points” to define the Base Vector lengths by dividing the distances to the mouse points by the specified numbers. You can specify here the mask radius, which can be changed later using the Periodic Mask tool. If you check “Refine Base Vectors,” then the base vectors along the specified direction will be estimated precisely based on a least-square technique. Please note that user has to specify two base vectors that cover all the lattice points.

When the OK is pressed, the Periodic Mask will be added to the Power Spectrum as shown below, if the good base vectors are found. Make sure the base vectors are correct. In some case, only a part of the spots is covered by the Periodic Mask. Then, you have to try again by adjusting the positions of the mouse points.



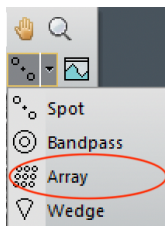
If the command fails to find the correct base vectors, you will get a following message:



Then, you may want to try other set of lattice points using the Mouse tool.

3. If you want, you can adjust a mask size using the Periodic Mask tool.

#### 3.4.1.2 Find Base Vectors (Using Periodic Mask tool)

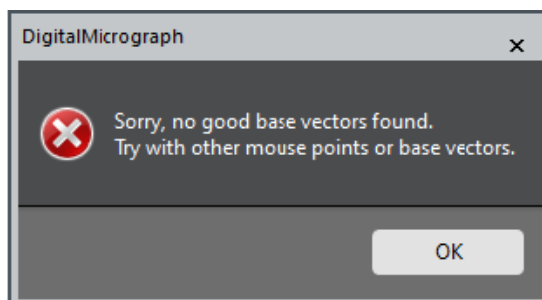


This command will help you to find a precise base vectors of a standard Periodic Mask (Array) tool.

1. Place the standard Periodic Mask tool on the Power Spectrum.
2. Move the arrowheads of the Periodic Mask tool onto any spots on the *directions* of two base vectors.
3. (Optional) Put a Point ROI on one spot. This will impose a condition on the base vectors. See the TIPS below.
4. Choose “Find Base Vectors” command, then the true base vectors along the specified direction will be estimated precisely based on a least-square technique. Please note that user has to specify a set of correct directions to cover all the lattice points.

Make sure the base vectors are correct. In some case, only a part of the spots is covered by the Periodic Mask. Then, you have to try again by adjusting the positions of the arrowheads of the Periodic Mask tool.

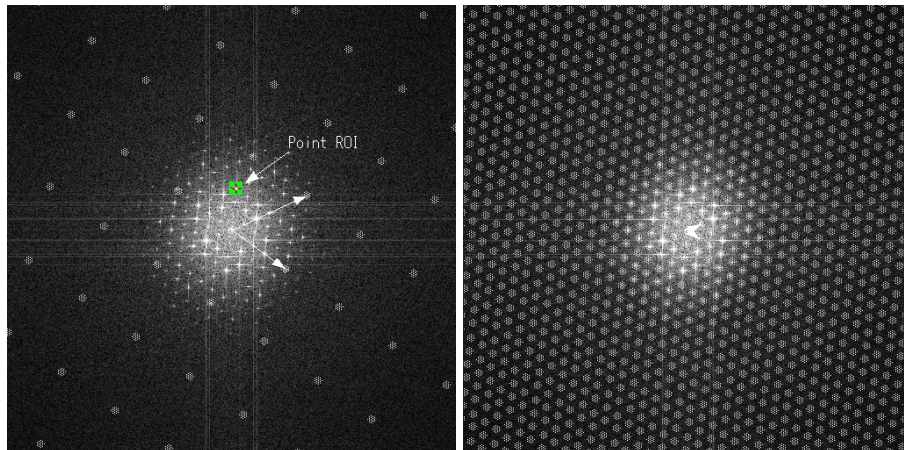
If the command fails to find the correct base vectors, you will get a following message:



Then, you may want to adjust the positions of the arrowheads or to use other set of lattice points. Before trying another lattice points, you may



- 
- also want to try with the Option (Step 2) using the same lattice points.
5. If you want, you can adjust a mask size using the Periodic Mask tool.



The arrowheads of the Periodic Mask (Array) tool are placed on the lattice points along the base vector directions. Note an optional Point ROI.

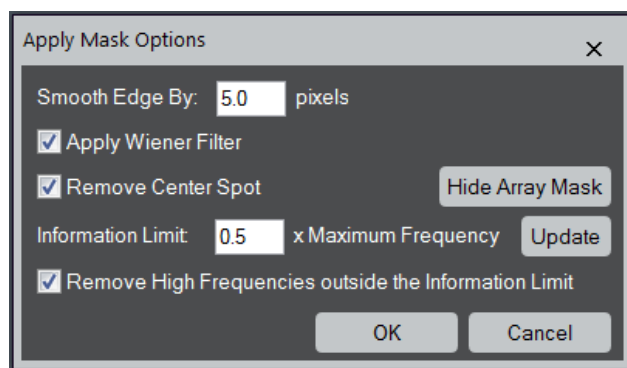
The best base vectors are estimated and an array of mask is placed at all the lattice positions on the Power Spectrum.

**TIPS:** The Point ROI is required to specify the correct base vector when the symmetry of the image gives extinct spots along the base vector. In such a case, place the point ROI on one of the allow spots along the base vector.

**NOTE:** The same procedure described here to find the base vector will work for the Fourier transform of the image. Then, the “Apply Mask” command of the Process menu will be used.

### 3.4.1.3 Apply Filter...

This is an extended version of the command “Apply Mask...” under the Process menu of DigitalMicrograph. There are several options that will reduce random noise from the final filtered image.



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Apply Wiener Filter: Amplitude of the spot is modified by a Wiener estimate

$$F \Rightarrow \frac{|F|^2 - |F_b|^2}{|F_b|^2} F$$

where  $F_b$  is average amplitude around each spot.

Remove Center Spot: Amplitude around the center spot is set to zero except the origin single point.

Information Limit: The Information Limit given by a fraction of the Maximum Frequency of the image.  
By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the information limit, click the button again to check the size of the circle.

Remove High Frequencies outside the Information Limit

If checked, high frequencies outside the Information Limit will be removed.

You can use Hide Array Mask button to show the red circle that indicates the information limit.

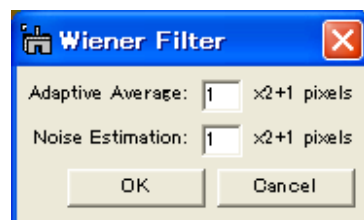
When the OK button is pressed, the filtered image will be shown on the new window after applying Periodic Mask to the Fourier transform of the image.

If you want to see the applied mask, you can check it by simply calculating the Fourier transform of the filtered image.

### 3.4.2 Adaptive Wiener Filter

This command applies a linear filter (local average) to an image *adaptively* according to the local image variance. The sizes of a local average and local variance can be controlled by “Adaptive Average” and “Noise Estimation”, respectively. If the variance is large, the filter performs less smoothing, while the variance is small, the filter performs more smoothing.

The adaptive filter is more selective than a simple local average filter, preserving edges and other high-frequency parts of an image.



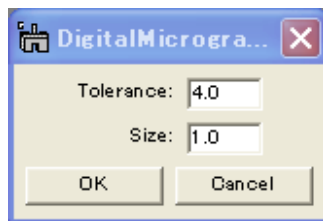
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## 3.5 HREM-Filters Utilities

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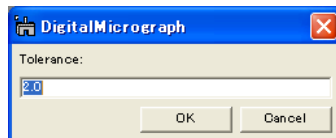
### 3.5.1 Replace Dud Pixels

This command will remove dud image points due to bad pixels of a CCD camera or due to uncontrollable x-ray or cosmic ray. The values of the dud pixels will be replaced by a local mean. This is an automatic version of **Zapper** tool of DigitalMicrograph's standard tools.



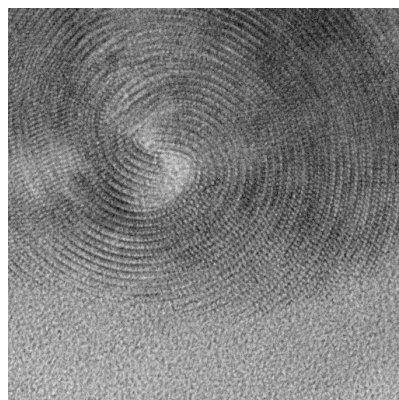
The size of a cluster of dud points can be controlled by the **Size** parameter. The **Tolerance** controls a degree of singularity in terms of a local standard deviation. This will work ideally for small isolated clusters.

For a long connected dud image pixels, an area that includes the dud pixels may be indicated by a **ROI** tool manually. Then, the dud pixels will be replaced by a local mean, when a pixel differs from the local mean by a specified **tolerance** times the variance of the area.

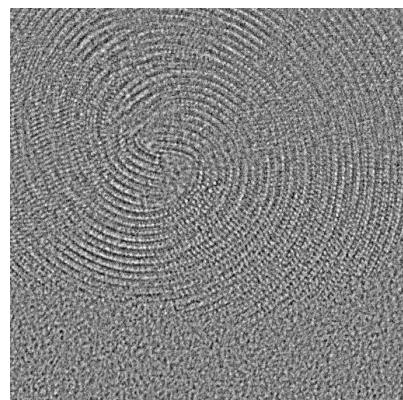


### 3.5.2 Trend Subtract

This command will remove a smoothed trend of an image, and makes a structural detail to be recognized more clearly. When an image size is large, Filters Lite will take some time to get a result compared with Filters Pro.



Original Image (crysotile)



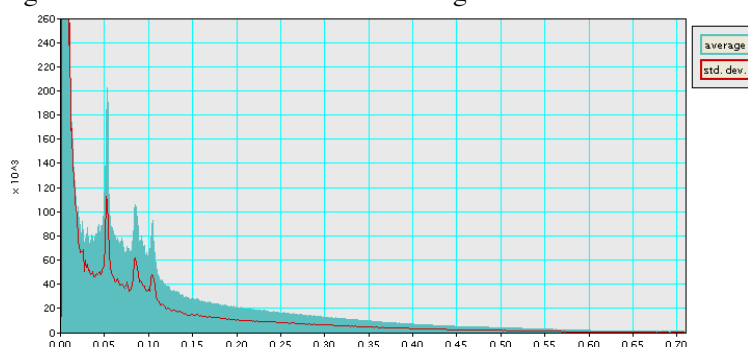
Trend Subtracted imag

---

### 3.5.3 Radial Average

This command will calculate an average profile over the pixels on the same radial distance from its image center. If the image is complex number such as a Fourier transform of an image, a modulus will be averaged.

This command will also calculate a standard deviation profile, although the profile is hidden by default. You can see the standard deviation profile by choosing “Show std. dev.” on a context menu that will appear by clicking a right mouse button on the “std. dev.” legend.



Radial average profile (Standard deviation profile is set to “show.”)

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## 4. Filter Description

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### 4.1 Fourier Transform

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An observed signal  $F_o$  in Fourier transform may be written as a sum of a true signal  $F_c$  due to a crystal part and a background  $F_b$  due to a non-crystal part:  $F_o = F_c + F_b$ . If we assume the true signal and the background are mutually independent, then we may be able to write  $|F_o|^2 \approx |F_c|^2 + |F_b|^2$ .

### 4.2 Wiener Filter

---

The Wiener filter seeks a solution that minimizes the summed square difference between the true signal  $F_c$  and its estimate  $\hat{F}_c$  resulting

$$\hat{F}_c = \frac{|F_c|^2}{|F_c|^2 + |F_b|^2} F_o \approx \frac{|F_o|^2 - |\hat{F}_b|^2}{|F_o|^2} F_o = \frac{|F_o|^2 - |\hat{F}_b|^2}{|F_o|} e^{i\phi_o},$$

where  $\phi_o$  is the phase of the observed signal  $F_o$  and  $\hat{F}_b$  the estimate of the background. Here, we assume  $F_c$  and  $F_b$  are independent.

If  $|F_o| - |\hat{F}_b| \leq 0$ ,  $\hat{F}_c$  is set to zero.

### 4.3 Difference Filter

---

The Difference filter (the background subtraction filter) is simply given by

$$\hat{F}_c = \left( |F_o| - |\hat{F}_b| \right) e^{i\phi_o},$$

where  $\phi_o$  is the phase of the observed signal  $F_o$  and  $\hat{F}_b$  the estimate of the background. If  $|F_o| - |\hat{F}_b| \leq 0$ ,  $\hat{F}_c$  is set to zero.

Reference: R. Kilaas, *J. Microscopy* 190 (1998) 45-51.

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## 4.4 Background Estimation

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In order to use either filter we have to estimate a background contribution  $\hat{F}_b$ . A radial average background has been commonly used. Here, we propose new backgrounds.

### *1. Radial Background*

Normally, the background is estimated as a radial average of the Fourier transform of the whole image assuming that the contribution from amorphous (non-periodic) materials varies slowly.

Reference: L.D. Marks, *Ultramicroscopy* 62 (1996) 43-52; R. Kilaas, *J. Microscopy* 190 (1997) 45-51.

### *2. Two-Dimensional Background*

A radial background will not work, when structure information appears at the same distance from the origin in Fourier space. Thus, we developed a novel approach based on P-spline fitting to estimate a smoothed two-dimensional background in Fourier space.

Reference: P.H.C. Eilers et al., *Computational Statistics and Data Analysis* 50 (2006) 61-76.

### *3. Local Two-Dimensional Background*

When an orientation of periodic structure is different locally, the background estimated for the whole image is not adequate. Thus, a set of two-dimensional backgrounds in Fourier space is estimated by dividing an image into local small areas.

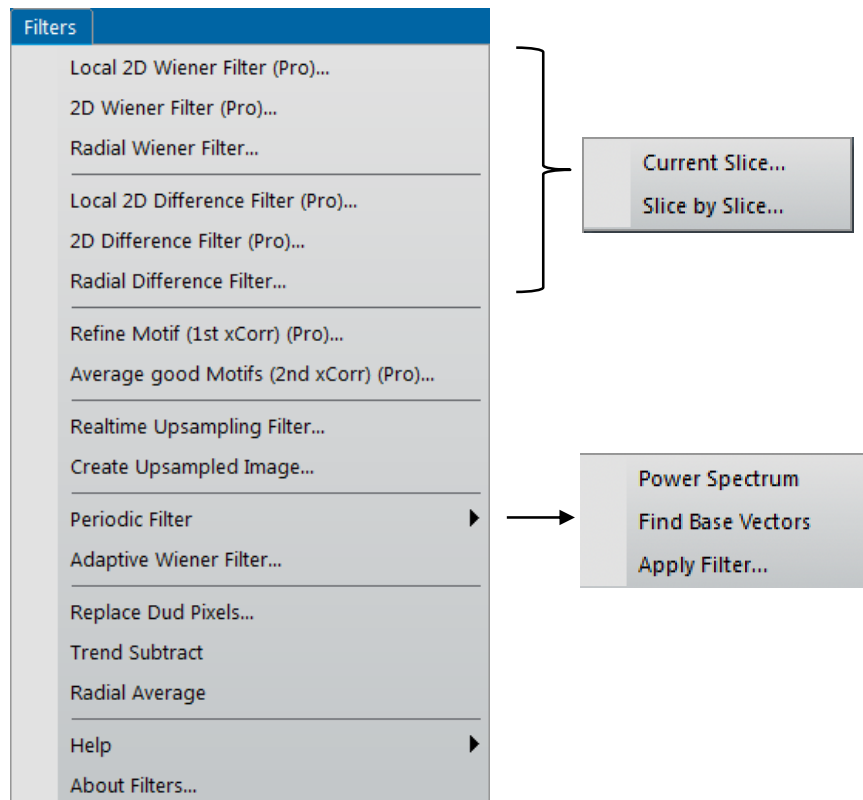
### *4. Periodic Mask Background*

A periodic mask is frequently applied to a Fourier transform of a lattice image. We may be able to modify a simple periodic filter to a Wiener type filter, where a background is estimated for each diffraction spot from a surrounding area of each mask.

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# Quick Reference Guide

## The HREM-Filters Main Menu



The commands in the HREM-Filters menu are described below.

Command	Description
Local 2D Wiener Filter	Calculates a Wiener filtered image using 2D local backgrounds
2D Wiener Filter	Calculates a Wiener filtered image using a 2D background
Radial Wiener Filter	Calculates a Wiener filtered image using a radial background
Local 2D Difference Filter	Calculates a Difference filtered image using 2D local backgrounds
2D Difference Filter	Calculates a Difference filtered image using a 2D background
Radial Difference Filter	Calculates a Difference filtered image using a radial background

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Refine Motif	Calculates a cross-correlation of the whole image with the given motif, and give a refined motif for the next command.
Average good Motifs	Calculates a cross-correlation of the whole image with the refined motif, and give an averaged image over the good motifs (image patches).
Realtime Upsampling Filter	Opens RT Upsampling Filter tool, which will assist an operator in performing experiments especially at a low dose condition.
Create Upsampling Image	Creates unsampled image/image stack from the observed image/image stack.
Periodic Filter (see sub menus)	Commands to assist/extend Periodic Filter Find Base Vectors Apply Mask
Adaptive Wiener Filter	Performs a linear filter (local average) adaptively according to the local image variance.
Replace Dud Pixels	Replaces dud pixels with a local average automatically according to the local image variance.
Trend Subtract	Subtracts an image trend (global background)
Radial Average	Calculates a radial average and std. deviation of a Fourier transform

When the front data is a 3D stack, the submenu will appear, where you can select to process Current Slice or Slice by Slice (all the slices).



---

## Local 2D Wiener/Difference Filter Menu

### Filter Options Dialog

#### Amorphous Noise Tab

The screenshot shows the 'Filter Options' dialog box with the 'Amorphous Noise' tab selected. The 'Random Noise' tab is also visible. The settings are as follows:

- Width: 64
- Resolution: 4.0 pix
- Information Limit: 0.5 x Maximum Frequency (with an 'Update' button)
- ☒ Remove High Frequencies outside the Information Limit
- Smooth Edge By: 0.2 x Information Limit
- Background Model: Amplitude (dropdown)
- Mode: Elaborate (dropdown)
- ☒ Enhanced background 1.0 x random (white) noise std
- ☒ Subtract Image Trend (dropdown)
- ☒ Upsampling by 2 (dropdown)

At the bottom, the 'Fourier Transform Display Mode' is set to 'Modulus (Amplitude)' (radio button selected) with 'Log of Modulus' as an alternative. 'OK' and 'Cancel' buttons are at the bottom right.

Component	Description
Width:	Size of the local square area.
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value her. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click the button to check the size of the circle on the power spectrum.
Remove High Frequencies outside the Information Limit	
Smooth Edge By:	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ . This defines the value for Smooth Edge.

---

Background Model:	The background of the filter will be estimated from the amplitude or the intensity.
Mode:	The choice of the 2D background estimation scheme from <i>Quick</i> and <i>Elaborate</i> .
Enhanced background (Pro only)	If checked, the background is increased by the factor multiplied by the std of the random noise.
Subtract:	
	If checked, the Image trend or Gaussian blur of the original image is subtracted before Wiener/ Difference filtering, and put back afterward.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.

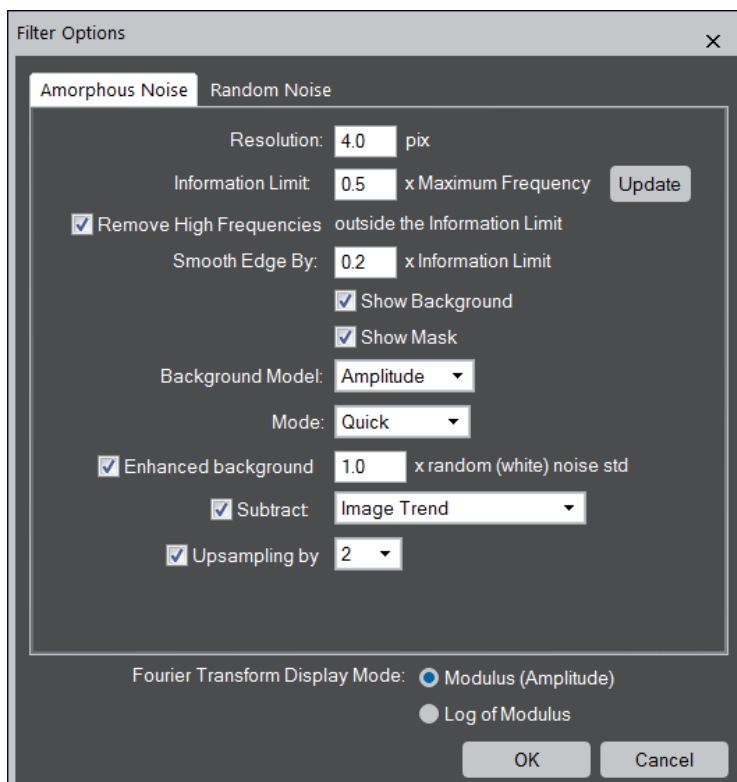
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## 2D Wiener/Difference Filter Menu

### Filter Options Dialog

There are two noise models: Amorphous noise and Random noise.

#### Amorphous Noise Tab

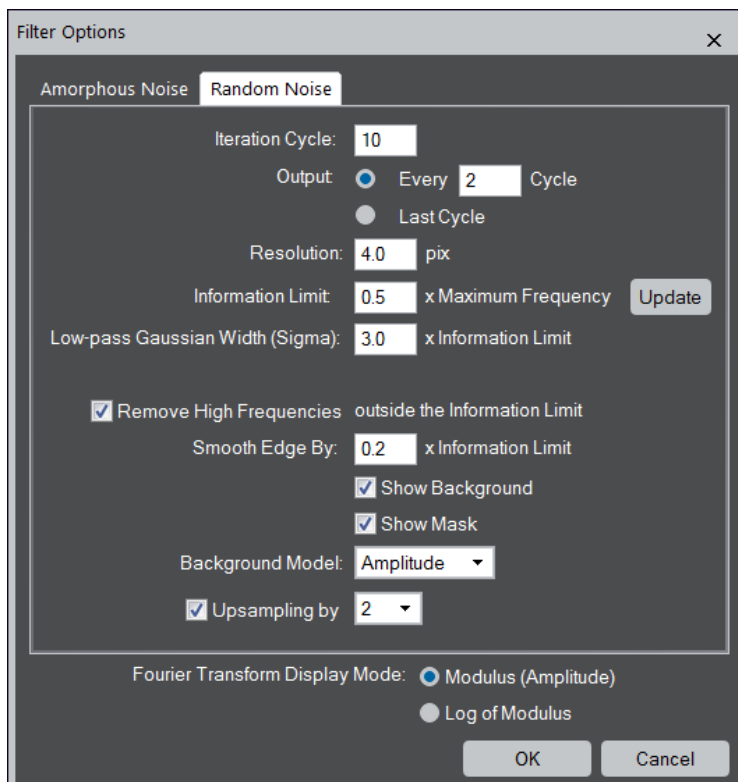


Component	Description
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value her. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click the button to check the size of the circle on the power spectrum.

---

Remove High Frequencies outside the Information Limit	
Smooth Edge By:	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ . This defines the value for Smooth Edge.
Show Background:	If checked, the background of the Wiener/Difference filter will be displayed.
Show Mask:	If checked, the Wiener/Difference filter mask will be displayed.
Background Model:	The background of the filter will be estimated from the amplitude or the intensity.
Mode:	The choice of the 2D background estimation scheme from <i>Quick</i> and <i>Elaborate</i> .
Enhanced background (Pro only)	If checked, the background is increased by the factor multiplied by the std of the random noise.
Subtract:	
	If checked, the Image trend or Gaussian blur of the original image is subtracted before Wiener/ Difference filtering, and put back afterward.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.

## Random Noise Tab



Component	Description
Iteration Cycles:	This determines the maximum number of iterations
Output: Each xx Cycles Last Cycle	Specifies the cycle of result outputs or the output only at the last cycle.
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value her. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click the button to check the size of the circle on the power spectrum.
Low-pass Gaussian width (sigma):	
	The half-width of the smoothing Gaussian in Fourier space is specified here. A large Gaussian width requires a longer iteration.

---

Remove High Frequencies outside the Information Limit	
Smooth Edge By:	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ . This defines the value for Smooth Edge.
Show Background:	If checked, the background of the Wiener/Difference filter will be displayed.
Show Mask:	If checked, the Wiener/Difference filter mask will be displayed.
Background Model:	The background of the filter will be estimated from the amplitude or the intensity.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.

## Radial Wiener/Difference Filter Menu

### Filter Options Dialog

#### Dialog

Option	Description
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value her. Since the resolution may not depend on the magnification, it is convenient to use this when the image is calibrated.
Information Limit:	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click the button to check the size of the circle on the power spectrum.
Remove High Frequencies outside the Information Limit	
Smooth Edge By:	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ . This defines the value for Smooth Edge.
Show Background:	If checked, the background of the Wiener/Difference filter will be displayed.

---

Show Mask:	If checked, the Wiener/Difference filter mask will be displayed.
Radial Background type	The choice of the type of Radial Background. <u>Simple Radial Background</u> : simple rotational average of the intensity (e.g., Kilaas). <u>Advanced Radial Background</u> (Pro only): smoothed version of the Simple Radial Background. Usually, this background is <i>far better</i> than the Simple Radial Background.
Enhanced background (Pro only)	If checked, the background is increased by the factor multiplied by the sdt of the random noise.
Trend Subtract (Pro Only):	If checked, the trend of the original image is subtracted before Wiener/Difference filtering, and put back afterward.
Fourier Transform Display Mode	You can select the display mode between Modulus (Amplitude) and Log of Modulus.
Upsampling (Pro only)	If checked, the filtered image will be up-sampled by the selected factor.

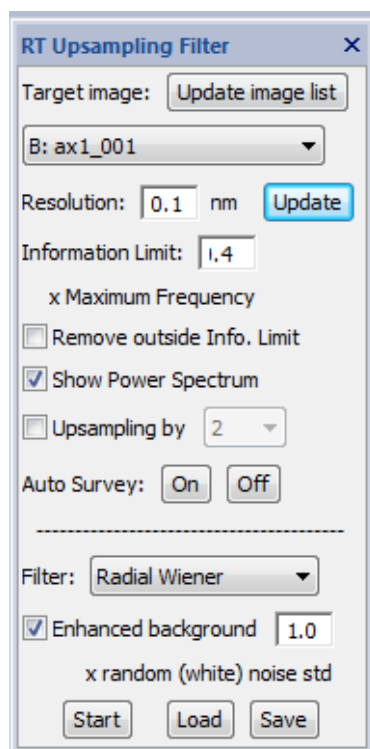


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## **Realtime Upsampling Filter Menu**

### **RT Upsampling Filter Palette**

The Realtime Upsampling Filter palette will be opened by selecting “RT Upsampling Filter” from Window->Floating Windows, or selecting “Realtime Upsampling Filter” from the HREM Filters menu.



Option	Description
Target image	The target image can be selected from the image pull-down list. If necessary, the image list can be updated by using “Update image list” button. The target image may be a STEM image, or a TEM image in the view mode.
Resolution/Information Limit	Resolution/Information Limit can be adjusted by looking the Power Spectrum of the image. The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click the button to check the size of the circle on the power spectrum.
Remove outside Info. Limit	If checked, the noise outside of the Information Limit (Resolution) will be excluded.

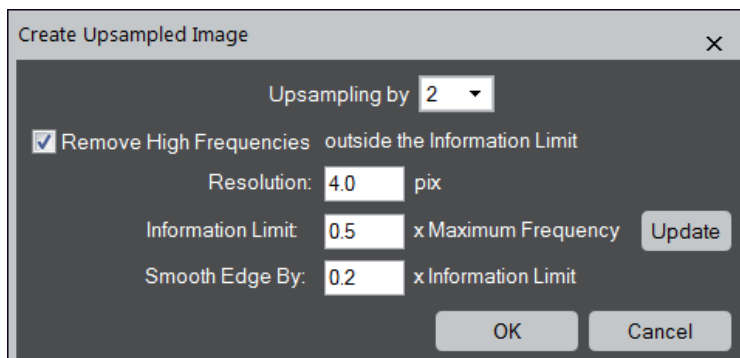
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Show Power Spectrum	Initial setting of this selection is ON. If you want hide the Power Spectrum window, you can do by unselect this check box.
Upsampling by	If checked, you can upsample the original image by a selected factor (2/3/4).
Auto Survey ON, OFF	Using ON and OFF buttons you can change the Auto Survey status of the filtered image.
Start	The Realtime Upsampling Filter will be started by pressing the “Start” button. Then, this button will change to “Stop,” by which you can terminate the processing.
Load/Save	If you already done using the filter command, you can use the latest parameters used by the filter command by the “Load” button. Conversely, you can store these parameters for a later use by “Save” button.
Following two settings are available only for the user who has the license for the <b>Real Time Filter module</b> .	
Filter mode	Filter mode can be selected from “Radial Wiener” or “Radial Difference” filter. If you don’t have the license, “None” will be shown here.
Enhanced background	You can reduce the random noise (quantum noise/Poisson noise) by increase the background level by a selected factor.

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## **Create Upsampled Image dialog**

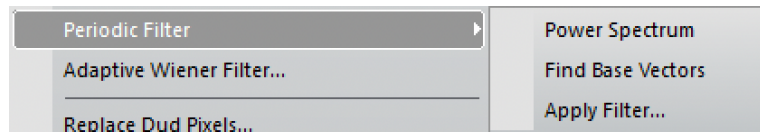
An unsampled image/image stack can be created from the observed image/image stack. When creating the unsampled image, you can delete the noise beyond the resolution/information limit.



Option	Description
Upsampling by	If checked, the filtered image will be up-sampled by the selected factor.
Remove High Frequencies outside the Information Limit	
	If checked, high frequencies will be removed according to the settings below:
Resolution	When you know the resolution of the micrograph in a physical unit or in pixels, you can directly input the value here.
Information Limit	The Information Limit given by a fraction of the Maximum Frequency of the image.
Update	By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the resolution or information limit, click this button to check the size of the circle on the power spectrum.
Smooth Edge By:	If checked, high frequencies outside the Information Limit will be smoothly attenuated from one to zero between $(\text{Information Limit}) \cdot (1 - \text{Smooth Edge})$ and $(\text{Information Limit}) \cdot (1 + \text{Smooth Edge})$ .

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## **Periodic Filter Menu**

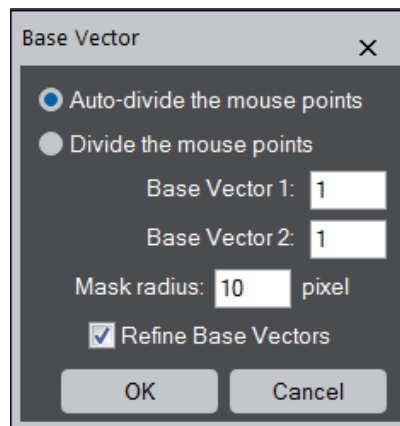


Option	Description
Find Base Vectors	Assists to find a precise base vectors for a Periodic Filter using the HREM mouse tool, or the standard Periodic Mask tool.
Apply Mask...	Apply a periodic mask with Wiener filter and/or low-pass filter. This command is available for <i>Pro</i> only.

### **Find Base Vectors – Base Vectors Dialog**

When two mouse points are placed on the two spots along the two base vectors, the dialog below will appear.

#### **Dialog**

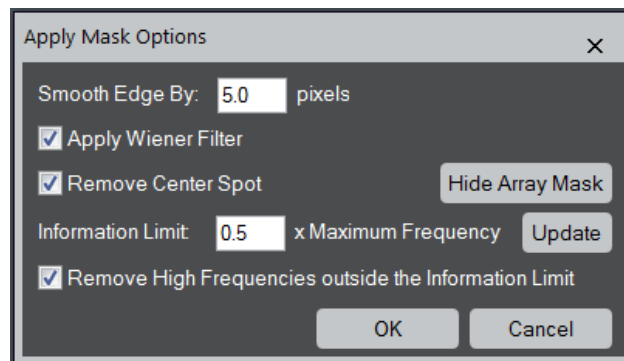


Option	Description
Base Vector 1	The order (index) of the mouse point #1 for the base vector 1.
Base Vector 2	The order (index) of the mouse point #2 for the base vector 2.
Mask Radius	The mask radius for each spot in pixels.
Refine Base Vectors	The base vector can be refined with a least-square fitting by checking this box. Use this capability except you intentionally want to use the mouse points without the least-square refinement.

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## **Apply Mask Menu - Apply Mask Options Dialog**

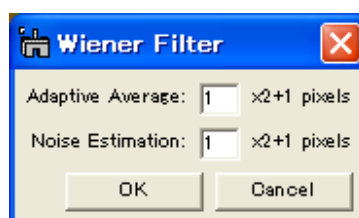
### **Dialog**



Option	Description
Smooth Edge By	The width of smoothing of each spot (same as DM - Process/Apply Mask command).
Apply Wiener Filter	If checked, Wiener filter is applied to each spot by estimating the background for the spot.
Remove Center Spot	If checked, the whole mask area of the center spot will be removed (filtered out)
Hide/Show Array Mask	The Array Mask can be hidden by clicking this button, which is handy to show the red circle that indicates the information limit.
Information Limit: Update	The Information Limit given by a fraction of the Maximum Frequency of the image. By clicking this button, you can verify the Information Limit on the power spectrum. When you change the value of the information limit, click the button again to check the size of the circle.
Remove High Frequencies outside the Information Limit	
	If checked, high frequencies outside the Information Limit will be removed.

## **Adaptive Wiener Filter**

### **Dialog**

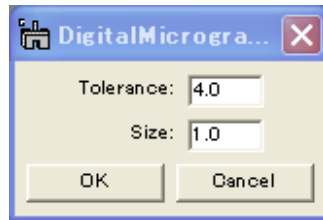


Option	Description
Adaptive Average	Defines an area of local average
Noise Estimation	Defines an area for local variance estimation

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## **Replace Dud Pixels**

Dialog



Option	Description
Tolerance	Controls a degree of singularity in terms of a local standard deviation
Size	The size of a cluster of dud points.